

AML/ CTF Customer Information Questionnaire – Trusts and SMSFs

Introduction

To help the Government fight money laundering activities and the funding of terrorism, the Anti-Money Laundering and Counter Terrorism Financing Act 2006 (Cth) (“AML/CTF Act”) requires that we obtain, verify and record certain information about customers. This questionnaire is designed to assist in that process.

Please note, the information collected in this questionnaire is required for compliance with the AML/CTF Act and all personal information you provide will be managed in accordance with the AML/CTF Act, the Privacy Act 1988 and the Australian Privacy Principles.

Please complete the following questions

Identification

	Entity 1	Entity 2
Full name of the trust/ SMSF		
If corporate trustee:		
▪ Full company name		
▪ Registered office address		
▪ ACN		
▪ Proprietary or public		
If individual trustee:		
▪ Full name		
▪ DOB or full address		
Type of trust/ SMSF		
Country established		
Full name of each beneficiary/ member, or details of the relevant class, if beneficiaries/ members are defined by class		
Full name of the settlor of the trust/ person who provided the money to open the account		
For corporate trustees:		
If proprietary company, name and DOB/ full address of each director and shareholders owning 25% or more of the company		

Verification

For the trust:

Verify the full name from a trust deed (certified copy or certified extract);

For trustee companies:

Verify using:

- Regulator or stock exchange search
- A public document issued by the relevant company
- A search of the licence or other records of the relevant regulator

For individual trustees, beneficiaries/ members (not members of a class), and directors/ 25% shareholders of the trustee company

Verify the full name and either the DOB or address collected. Please provide either an original or certified copy of the following for each person:

- a non-expired primary photographic identification document
 passport, proof of age card, national identity card, driver's licence OR
- both an original or certified copy of a non-expired primary non-photograph identification document
 birth certificate, citizenship certificate, or pension card

and

an original or certified copy of a secondary identification document.

Secondary identification documents are documents (other than a primary identification document) which establish the identity of the individual. They must have at least one given name plus surname in full, have been issued by the Commonwealth, State, Territory, ATO or local government within the preceding 12 months, and contain the individual's residential address. (Note: If issued by Local Government or utilities provider, it must have been issued within the preceding 3 months.)

Additional Questions

Person 1

Person 2

Agent

If the customer uses an agent to obtain designated services, you must obtain and verify:

The full name of each individuals who purports to be an agent

The person's authority to act for the customer

Privacy

Aon is committed to protecting your personal information in accordance with the Australian Privacy Principles under the Privacy Act 1988 (Cth). We collect, use and disclose personal information to offer, promote, provide, manage and administer the many financial services and products we and our group of companies are involved in as set out in the Aon Privacy Notice. In order to do this, we may also share your information with other persons or entities who assist us in providing or promoting our services as set out in the Aon Privacy Notice. Further information about our privacy practices can be located in the Aon Australia Group Privacy Policy Statement which can be viewed on our website at www.aon.com.au or a copy can be sent to you on request by your Aon representative. You may also gain access to your personal information, or modify your privacy preferences (including any marketing opt outs), by contacting your Aon representative or our Privacy Officer at:

Privacy Officer –

By email: privacyofficer@aon.com.au

By mail: Level 33, 201 Kent Street Sydney NSW 2000

By phone: (02) 9253 7000

Additional Undertakings

Is the trustee, or are any of the directors of the trustee company under any investigation or prosecution for:

- an evasion or attempted evasion of a taxation law
 Yes No
- an evasion or an attempted evasion of a law of a State or Territory that deals with taxation
 Yes No
- an offence against a law of the Commonwealth or of a State or Territory of Australia
 Yes No

If any trustee or director cannot provide the above undertakings, please provide details below or on a separate sheet which must also show the relevant person's name and which must be signed by the relevant person.

Signed	Print Name	Date