

AML/ CTF Customer Information Questionnaire - Companies

Introduction

To help the Government fight money laundering activities and the funding of terrorism, the Anti-Money Laundering and Counter Terrorism Financing Act 2006 (Cth) ("AML/CTF Act") requires that we obtain, verify and record certain information about customers. This questionnaire is designed to assist in that process.

Please note, the information collected in this questionnaire is required for compliance with the AML/CTF Act and all personal information you provide will be managed in accordance with the AML/CTF Act, the Privacy Act 1988 and the Australian Privacy Principles.

Please complete the following questions

Identification

Australian company

	Company 1	Company 2
Full company name (as registered by ASIC)		
Registered office address		
ACN		
Proprietary or public company		

If proprietary company, name and DOB/ full address of each director and shareholders owning 25% or more of the company

Foreign-registered company

	Company 1	Company 2
Full company name (as registered by ASIC)		
Registered office address in Australia		
Principal place of business address in Australia		
ARBN		
Country of incorporation/ formation/ registration		
Whether the company is registered by the relevant foreign registration body and if so, registered as a private or a public company or some other type.		

If proprietary company, name and DOB/ full address of each director and shareholders owning 25% or more of the company

Unregistered foreign company

	Company 1	Company 2
Full company name		
Country of incorporation/ formation/ registration		
Whether the company is registered by the relevant foreign registration body and if so, <ul style="list-style-type: none">▪ Identification number provided by the body, and▪ Registered as a private or a public company or some other type.		
If proprietary company, name of each director		
If the company is not registered by the relevant foreign registration body, full address of principal place of business		

Verification

For the company:

- Regulator or stock exchange search
- A public document issued by the relevant company
- A search of the licence or other records of the relevant regulator

For directors and 25% shareholders

The full name, and either the date of birth or the residential address must be verified. Please provide either an original or certified copy of:

- a non-expired primary photographic identification document
 passport, proof of age card, national identity card, driver's licence
 OR
- both an original or certified copy of a non-expired primary non-photograph identification document
 birth certificate, citizenship certificate, or pension card
 and
 an original or certified copy of a secondary identification document.

Secondary identification documents are documents (other than a primary identification document) which establish the identity of the individual. They must have at least one given name plus surname in full, have been issued by the Commonwealth, State, Territory, ATO or local government within the preceding 12 months, and contain the individual's residential address. (Note: If issued by Local Government or utilities provider, it must have been issued within the preceding 3 months.)

Additional Questions

	Person 1	Person 2
Status		
Australian citizen	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
Australian permanent resident	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
Australian non -permanent resident	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
Non resident	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No

Occupation

Agent

If the customer uses an agent to obtain designated services, you must obtain and verify:

The full name of each individuals who purports to be an agent

The person's authority to act for the customer

Privacy

Aon is committed to protecting your personal information in accordance with the Australian Privacy Principles under the Privacy Act 1988 (Cth). We collect, use and disclose personal information to offer, promote, provide, manage and administer the many financial services and products we and our group of companies are involved in as set out in the Aon Privacy Notice. In order to do this, we may also share your information with other persons or entities who assist us in providing or promoting our services as set out in the Aon Privacy Notice. Further information about our privacy practices can be located in the Aon Australia Group Privacy Policy Statement which can be viewed on our website at www.aon.com.au or a copy can be sent to you on request by your Aon representative. You may also gain access to your personal information, or modify your privacy preferences (including any marketing opt outs), by contacting your Aon representative or our Privacy Officer at:

Privacy Officer –

By email: privacyofficer@aon.com.au

By mail: Level 33, 201 Kent Street Sydney NSW 2000

By phone: (02) 9253 7000

Additional Undertakings

Is the company, or are any of the officers or directors of the company under any investigation or prosecution for:

- an evasion or attempted evasion of a taxation law
 Yes No
- an evasion or an attempted evasion of a law of a State or Territory that deals with taxation
 Yes No
- an offence against a law of the Commonwealth or of a State or Territory of Australia
 Yes No

If any individual or company cannot provide the above undertakings, please provide details below or on a separate sheet which must also show the relevant name and which must be signed by or on behalf of the relevant individual or company that cannot provide the undertakings.

Signed	Print Name (Must be director or officer)	Date